



Docket No.: 42390P8943X4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
In Re the Application of:

CARL R. STRATHMEYER, ET AL.

Application No.: 10/072,465

Filed: February 6, 2002

For: **APPARATUS AND METHOD FOR
COMPUTER CONTROLLED CALL
PROCESSING AND INFORMATION
PROVISION**

Art Group: 2667

Examiner: Anh Vu H. Ly

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MAY 25 2004

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#12

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a Notice of Allowance. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

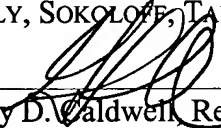
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: May 21, 2004



Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Deborah L. Higham

05-21-04

Date



Substitute for form PTO 449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/072,465
				Filing Date	February 6, 2002
				First Named Inventor	Carl R. Strathmeyer
				Art Unit	2667
				Examiner Name	Anh Vu H. Ly
				Attorney Docket Number	42390P8943X4
Sheet	1	of	2		

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Document Number Number - Kind Code* (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-5,867,494	02-02-1999	Krishnaswamy et al.	
	2	US-5,905,872	05-18-1999	DeSimone et al.	
	3	US-6,026,087	02-15-2000	Mirashrafi et al.	
	4	US-6,061,365	05-09-2000	Yeung et al.	
	5	US-6,094,479	07-25-2000	Lindeberg et al.	
	6	US-6,185,288 B1	02-06-2001	Wong	
	7	US-6,199,096 B1	03-06-2001	Mirashrafi et al.	
	8	US-6,201,805 B1	03-13-2001	Strathmeyer	
	9	US-6,212,192 B1	04-03-2001	Mirashrafi et al.	
	10	US-6,407,996 B1	06-18-2002	Witchalls	
	11	US-6,490,275 B1	12-03-2002	Sengodan	
	12	US-6,614,781 B1	09-02-2003	Elliott et al.	
	13	US-2001/0026548 A1	10-04-2001	Strathmeyer et al.	
	14	US-2001/0036176 A1	11-01-2001	Girard	
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		US-			

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FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Foreign Patent Document Country Code* - Number* - Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	15	EP 1 113 656 A2	07-04-2001	Nortel Networks Limited	
	16	WO 00/76158 A1	12-14-2000	Schulzrinne et al.	
	17	WO 00/79756 A2	12-28-2000	Glioth et al.	
	18	WO 01/22720 A2	03-29-2001	MCI Worldcom, Inc.	
	19	WO 01/43379 A1	06-14-2001	Cosmocom, Inc.	
	20	WO 01/59987 A2	08-16-2001	Syndeo Corporation	
	21	WO 01/69899 A2	09-20-2001	Nortel Networks Limited	

Examiner Signature	Date Considered
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

†Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet	2	of	2
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Complete if Known

Application Number	10/072,465
Filing Date	February 6, 2002
First Named Inventor	Carl R. Strathmeyer
Art Unit	2667
Examiner Name	Anh Vu H. Ly
Attorney Docket Number	42390P8943X4Tech

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NON PATENT LITERATURE DOCUMENTS

[illegible]

**Examiner
Signature**

Date	
Considered	

***Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.
Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



2667

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	10/072,465
		Filing Date	February 6, 2002
		First Named Inventor	Carl R. Strathmeyer
		Art Unit	2667
		Examiner Name	Anh Vu H. Ly
Total Number of Pages in This Submission		Attorney Docket Number	42390P8943X4

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<div>- Check for \$180.00 - 24 Cited References - Return Receipt Postcard</div>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s)	
<input checked="" type="checkbox"/> PTO/SB/08		
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Basic Filing Fee		
<input type="checkbox"/> Declaration/POA		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	May 21, 2004

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Deborah L. Higham		
Signature		Date	May 21, 2004



FEE TRANSMITTAL for FY 2004

Effective 10/01/2004. Patent fees are subject to annual revision.

Complete if Known

Application Number 10/072,465
Filing Date February 6, 2002
First Named Inventor Carl R. Strathmeyer
Examiner Name Anh Vu H. Ly
Art Unit 2667
Attorney Docket No. 42390P8943X4

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

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METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account

Deposit
Account
Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES

2. EXTRA CLAIM FEES		Extra Claims	Fee from below	Fee Paid							
Total Claims	<table border="1"><tr><td>34</td></tr></table>	34	$34^* =$	<table border="1"><tr><td>0</td></tr></table>	0	\times	<table border="1"><tr><td>18.00</td></tr></table>	18.00	$=$	<table border="1"><tr><td>\$0.00</td></tr></table>	\$0.00
34											
0											
18.00											
\$0.00											
Independent Claims	<table border="1"><tr><td>6</td></tr></table>	6	$6^* =$	<table border="1"><tr><td>0</td></tr></table>	0	\times	<table border="1"><tr><td>86.00</td></tr></table>	86.00	$=$	<table border="1"><tr><td>\$0.00</td></tr></table>	\$0.00
6											
0											
86.00											
\$0.00											
Multiple Dependent						$=$					

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) 0.00

*or number previously paid, if greater, For Reissues, see below

FEE CALCULATION (continued) MAY 25 2004

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

SUBMITTED BY

Name (Print/Type) Gregory D. Caldwell

Signature

Registration No. 39,926
(Attorney/Agent)

Complete (if applicable)

Telephone (503) 684-6200

Date

05/21/04